



Receipt #3
PATENT

AUS920010307US1/162-956

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Harm Peter Hofstee, et al.

Serial No.: 09/915,661

Filed: July 26, 2001

FOR: APPARATUS AND METHOD FOR
CONTROLLING POWER
DISSIPATION AND CONVECTIVE
COOLING TO REDUCE TEMP-
ERATURE EXCURSION RATES
IN A PROCESSING SYSTEM

Group Art Unit: 2836

Examiner: Unknown

Confirmation No: 7329

Office of Initial Patent Examination's
Customer Service Center
Commissioner of Patents
Washington, D.C. 20231

RECEIVED
APR 24 2002
Technology Center 2100

RECEIVED
SEP 28 2001
TECHNOLOGY CENTER 2100

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The Filing Receipt, a copy of which is attached hereto, should be corrected as follows:

The Title should read --Apparatus and Method for Controlling Power Dissipation and Convective Cooling to Reduce Temperature Excursion Rates in a Processing System--

Applicants additionally request that all pertinent Patent and Trademark Office records relating to the application be changed to reflect the correction.

Respectfully submitted,
SHAFFER & CULBERTSON, L.L.P.

Dated: 14 Sept. 2001

By:
Russell D. Culbertson, Reg. No. 32,124
J. Nevin Shaffer, Jr., Reg. No. 29,858
1250 Capital of Texas Hwy. South
Building I, Suite 360
Austin, Texas 78746
512-327-8932
Attorneys for Applicant

CERTIFICATE OF MAILING

I hereby certify that this foregoing correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Office of Initial Patent Examination's Customer Service Center, Commissioner for Patents, Washington, DC 20231.

Date of Deposit: 14 Sept. 2001
Reg. No. 32,124, Russell D. Culbertson
(Rv 9/01)N:\C Files\i-m\IBM\162\formal_receipt_corrected_pto.wpd



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ASS UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/915,661	07/26/2001	2868	710	AUS920010307US1	4	20	3

CONFIRMATION NO. 7329

FILING RECEIPT



OC00000006514924

Russell D. Culbertson
Shaffer & Culbertson, L.L.P.
Ste. 360
1250 Capital of Texas Hwy South, Bldg. One
Austin, TX 78613

RECEIVED
SEP 10 2001

SHAFFER & CULBERTSON

Date Mailed: 09/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Harm Peter Hofstee, Austin, TX;
Gordon J. Robbins, Austin, TX;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/05/2001

Projected Publication Date: 01/30/2003

Non-Publication Request: No

Early Publication Request: No

Title

Apparatus and method for controlling power dissipation and convective cooling to reduce temperature excursion rates in a processing system

Preliminary Class

361

RECEIVED

APR 24 2002

Technology Center 2100

RECEIVED
SEP 28 2001
TECHNOLOGY CENTER 2100



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 7329

SERIAL NUMBER 09/915,661	FILING DATE 07/26/2001 RULE	CLASS 361	GROUP ART UNIT 2836	ATTORNEY DOCKET NO. AUS920010307US1
APPLICANTS Harm Peter Hofstee, Austin, TX; Gordon J. Robbins, Austin, TX;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/05/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY TX	SHEETS DRAWING 4	TOTAL CLAIMS 20
Verified and Acknowledged Examiner's Signature _____ Initials _____		INDEPENDENT CLAIMS 3		
ADDRESS Russell D. Culbertson Shaffer & Culbretson, L.L.P. Ste. 360 1250 Capital of Texas Hwy South, Bldg. One Austin, TX 78613				
TITLE Apparatus and method for controlling power dissipation and convective cooling to reduce temperature excursion rates in a processing system				
FILING FEE RECEIVED 710	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	